Risk, Governance, and Compliance

Operate Efficiently and Effectively using RGC Best Practices

Introduction

The goal of this Risk, Governance & Compliance (RGC) training course is to give you the skills you need to plan and improve integrated RGC initiatives throughout your business. Additionally, it gives you the skills and methods to comprehend your responsibilities to the corporation, reduce risk, and set up an appropriate framework for handling problems as they emerge.

This comprehensive and extremely useful RGC training programme adds enormous value to your business and is crucial for creating a moral culture, setting reasonable expectations for behaviour, and reducing risk. It is not recommended to avoid the risk. Instead, it's a chance for organisational innovation, creating fresh advantages over competitors, resolving risks that could endanger an organisation, and something that can be integrated into strategic planning.

Through the development of basic operational skills and an emphasis on best practices, participants in this RGC training course will equip executives at all levels with the knowledge and abilities to manage risk, cultivate a culture of compliance, and fortify bonds with important stakeholders.

The culture of compliance ethics, duties and laws, issues and breach management, compliance project design and management, and more are covered in this Course N Carry RGC training course.

Objectives

After completing this course on Risk, Governance & Compliance (RGC), you will be able to:

- Recognise the meanings of compliance, risk management, and governance (GRC)
- Recognise the regulatory landscape
- Effective regulatory compliance is the primary motivation for governance. risk control
- Determine your organization's high-risk regions and compliance.
- Use a risk-based strategy.
- What the compliance officer and his group do
- Create and carry out a strategic plan for governance, risk management, and compliance.

- Recognise, describe, and improve organisational culture in relation to risk, compliance, and performance.
- Implement effective and efficient systems for risk management, governance, and compliance.
- Applying a risk-based audit methodology

Training Methodology

An overview of the concepts of governance, risk management, and compliance in your company will be provided to participants in this training session on governance, risk, and compliance. Case studies, group live instruction, the presenting and discussion of worked examples, continuing group discussions, and interactive involvement are all part of the training course technique.

Organizational impacts

Employees that take this RGC training course will assist their organisations tremendously because it directly relates to governance, risk management, and compliance. The following will benefit the organisation:

- Improved assistance for the compliance team, risk management, and governance
- Increased accuracy and relevance of the regulatory bodies' analysis
- Greater strategic thinking and performance-oriented focus
- Using the development of a good GRC plan more effectively
- Getting employees ready for further responsibilities
- Provides educational support to risk and compliance officials

Personal Imapct

Delegates will personally profit from this Risk, Governance & Compliance (RGC) training session by receiving the following:

- A deeper comprehension of the significance and background of G governance, risk management, and compliance
- The best ways to steer clear of circumstances that could expose their business to legal liability
- The instruments to find any policy infractions within the firm
- Increased knowledge of industry norms, issues, and solutions
- Enhanced comprehension and confidence in doing tasks effectively
- Enhanced motivation and self-assurance at work Better job satisfaction in offering management high-quality support
- Increased capacity for motivating, developing, and supervising colleagues

Who should attend?

The purpose of this RGC training course is to impart information and skills while giving specifics about the most recent rules and processes to:

- Board Members and Executives
- Internal Examiners
- Managers in charge of compliance and their deputies
- Professionals in Governance
- Risk Administrators GRC Experts
- Legal Experts
- Company Secretaries and Regulatory Representatives
- IT specialists that work on risk management, governance, and compliance
- Managers in charge of compliance, risk management, and governance

Course Outline

Day 1

Regulatory Environment and Compliance

- Introduction: An Overview of the Regulatory Environment; Risk, Governance and Compliance (RGC)
- The Reasons for Regulating Financial Services
- Important Rules that Affect (Transnational) International Regulation of Financial Institutions
- Understand the Environment of Regulator Compliance in Your Jurisdiction
- Your Organization's Compliance Function
- Risk Assessments for Compliance
- Fraud Risk Evaluation
- Assessment of Organisational Risk
- Analysis of Compliance Gaps

Day 2

Risk

- Principal Risk Class Types that Companies Face
- Need to Manage Features of the Principal Risk Classes
- Essential Ideas of All the Risk Classes
- Comprehending an Approach to Risk Management: The Importance of Internal Controls
- Determine, Reduce, and Manage Risks
- Methods for Assessing Risk
- Create a Proper Plan to Identify Desired and Undesired Events

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Day 3

Money-related Offences

- Laws Against Money Laundering: Stopping Fraud
- Corruption and Bribery
- Insider trading and market manipulation
- The Risk-based Method for Combating Terrorism Financing and Money Laundering
- Finding Signs of High Risk - Recognise who to report Suspicious Activity Reports (SARs) to for sanctions and what to do with the information.

Day 4

Governance

- The National and Global Context of Corporate Governance
- Recognising Your Organization's Internal and External Context
- Managing Stakeholders
- Tasks of the Board of Directors
- Corporate Social Responsibility Audit Committee
- The Function of Transparency and Public Disclosure Supervisors
- The Best Ways to Communicate with the Correct People

Day 5

Compliance, Risk Management, and Governance

- Principles of Governance, Risk, and Compliance: Concepts of the Model of "Risk," "Governance," and "Compliance" (GRC)
- Compliance, Risk Management, and Governance Positions and Accountabilities
- Integrated Approaches to Governance, Risk Management, and Compliance and Their Main Facilitators
- The function and obligations of committees and boards
- Specific Best Practices
- Developing a Successful Governance, Risk, and Compliance Model for Your Company